IAP3 Rec'd PCT/PTO\_2 2 FEB 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER 095309.56313US

TRANSMITTAL LETTER TO THE UNITED STATES

**DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CC	NCE	RNING A SUBMISSION UNDE	R 35 U.S.C. 371		10/538,048		
INTERNATIONAL APPLICATION NO.			INTERNATIONAL FILING	DATE	PRIORITY DATE CLAIMED		
		PCT/EP03/11864	10/25	/2003	12/09/2002		
TITLE OF INVENTION  METHOD FOR REGULATING AN AIR CONDITIONING SYSTEM FOR A VEHICLE WITH CLOSEABLE OPENINGS IN THE BODYWORK							
APPLICANT(S) FOR DO/EO/US							
Florian KAUF  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
	cant ne						
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3.	L	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.	$\boxtimes$	The US has been elected (Article 31).					
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
		a. is attached hereto (required only if not communicated by the International Bureau).					
		b. has been communicated by the International Bureau.					
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a. is attached hereto.					
		b. has been previously subm	itted under 35 U.S.C. 15	4(d)(4).			
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
		a. are attached hereto (required only if not communicated by the International Bureau).					
		b. have been communicated by the International Bureau.					
		c. have not been made; however, the time limit for making such amendments has NOT expired.					
		d. have not been made and v	vill not be made.				
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
	Items	Items 11 to 20 below concern document(s) or information included:					
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13.		A preliminary amendment.					
14.		An Application Data Sheet under 37 CFR 1.76.					
15.		A substitute specification.					
16.		A power of attorney and/or change of address letter.					
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20.	$\boxtimes$	Other items or information: Copy of the Notification of Missing Requirements.					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 095309.56313US PCT/EP03/11864 10/538,048 PTO USE ONLY CALCULATIONS The following fees have been submitted Basic national fee (37 C.F.R. §1.492(a)) ......\$300.00 21. \$ Examination fee (37 C.F.R. §1.492(c)) 22. If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0.00 \$ All other situations.....\$200.00  $\bowtie$ Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400 .....\$500 All other situations..... TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) \$ x 250 - 100 / 50 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$130.00 claimed priority date (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE CLAIMS - 20 50 Total claims \$ - 3 x 200 Independent claims MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360 \$ TOTAL OF ABOVE CALCULATIONS = \$130.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½ SUBTOTAL = \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). ..... TOTAL NATIONAL FEE = \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property ..... \$130.00 TOTAL FEES ENCLOSED = 02/24<del>/2006 LLANDGRA 00000042 10538048</del> Amount to be refunded: 130.00 OP 01 FC 1617 Amount to be charged: \$130.00 A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56313US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit C. Account No. 05-1323 (Attorney Docket No. 095309.56313US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d.  $\boxtimes$ be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. Mentahil SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP SIGNATURE Intellectual Property Group Vincent J. Sunderdick P.O. Box 14300 Washington, D.C. 20044-4300 29,004 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 February 22, 2006 VJS/dmg (doc no.)095309.56313US DATE







### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. 10/538,048 Florian Kauf 095309.56313US

INTERNATIONAL APPLICATION NO.

PCT/EP03/11864

23911 **CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

I.A. FILING DATE PRIORITY DATE 10/25/2003

12/09/2002

**CONFIRMATION NO. 1390 371 FORMALITIES LETTER** \*OC000000017696942\*

Date Mailed: 12/22/2005

MISSING REQ DUE 2-22-06

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/08/2005
- English Translation of the IA filed on 06/08/2005
- Copy of the International Search Report filed on 06/08/2005
- Copy of IPE Report filed on 06/08/2005
- Copy of Annexes to the IPER filed on 06/08/2005
- English Translation of Annexes to the IPE filed on 06/08/2005
- Preliminary Amendments filed on 06/08/2005
- Information Disclosure Statements filed on 06/08/2005
- U.S. Basic National Fees filed on 06/08/2005
- Priority Documents filed on 06/08/2005
- Non-English Language Application filed on 06/08/2005
- Specification filed on 06/08/2005
- Claims filed on 06/08/2005
- Abstracts filed on 06/08/2005
- Drawings filed on 06/08/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

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or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/538,048	PCT/EP03/11864	095309.56313US

FORM PCT/DO/EO/905 (371 Formalities Notice)